

Automatic Virtual Machine Configuration for Database Workloads

Ahmed A. Soror^{†*}

Umar Farooq Minhas[†]

Ashraf Aboulnaga[†]

Kenneth Salem[†]

Peter Kokosiellis[‡]

Sunil Kamath[‡]

[†]University of Waterloo

[‡]IBM Toronto Lab

[†]{aakssoro, ufmminhas, ashraf, kmsalem}@cs.uwaterloo.ca

[‡]{pkolosie, sunil.kamath}@ca.ibm.com

ABSTRACT

Virtual machine monitors are becoming popular tools for the deployment of database management systems and other enterprise software applications. In this paper, we consider a common resource consolidation scenario, in which several database management system instances, each running in a virtual machine, are sharing a common pool of physical computing resources. We address the problem of optimizing the performance of these database management systems by controlling the configurations of the virtual machines in which they run. These virtual machine configurations determine how the shared physical resources will be allocated to the different database instances. We introduce a virtualization design advisor that uses information about the anticipated workloads of each of the database systems to recommend workload-specific configurations offline. Furthermore, runtime information collected after the deployment of the recommended configurations can be used to refine the recommendation. To estimate the effect of a particular resource allocation on workload performance, we use the query optimizer in a new what-if mode. We have implemented our approach using both PostgreSQL and DB2, and we have experimentally evaluated its effectiveness using DSS and OLTP workloads.

Categories and Subject Descriptors

H.2.2 [Database Management]: Physical Design

General Terms

Algorithms, Design, Experimentation, Performance

Keywords

Virtualization, Virtual Machine Configuration, Resource Consolidation

*Supported by an IBM CAS Fellowship.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee.

SIGMOD '08, June 9–12, 2008, Vancouver, BC, Canada.
Copyright 2008 ACM 978-1-60558-102-6/08/06 ...\$5.00.

1. INTRODUCTION

Virtual machine monitors are becoming popular tools for the deployment of database management systems and other enterprise software systems. Virtualization adds a flexible and programmable layer of software between “applications”, such as database management systems, and the resources used by these applications. This layer of software, called the virtual machine monitor (VMM), maps the virtual resources perceived by applications to real physical resources. By managing this mapping from virtual resources to physical resources and changing it as needed, the VMM can be used to transparently allow multiple applications to share resources and to change the allocation of resources to applications as needed.

There are many reasons for virtualizing resources. For example, some virtual machine monitors enable live migration of virtual machines (and the applications that run on them) among physical hosts. This capability can be exploited, for example, to simplify the administration of physical machines or to accomplish dynamic load balancing. One important motivation for virtualization is to support enterprise *resource consolidation*. Resource consolidation means taking a variety of applications that run on dedicated computing resources and moving them to a shared resource pool. This can improve the utilization of the physical resources, simplify resource administration, and reduce cost for the enterprise. One way to implement resource consolidation is to place each application in a virtual machine (VM) which encapsulates the application’s original execution environment. These VMs can then be hosted by a shared pool of physical computing resources. This is illustrated in Figure 1.

When creating a VM for one or more applications, it is important to correctly configure this VM. One of the most important decisions when configuring a VM is deciding how much of the available physical resources will be allocated to this VM. Our goal in this paper is to automatically make this decision for virtual machines that host database management systems and compete against each other for resources.

As a motivating example, consider the following scenario. We created two Xen [2] VMs, each running an instance of PostgreSQL, and hosted them on the same physical server.¹ On the first VM, we run a workload consisting of 1 copy of TPC-H query Q17 on a 10GB database. We call this Workload 1. On the second VM, we run a workload on an

¹The full details of our experimental setup can be found in Section 6.

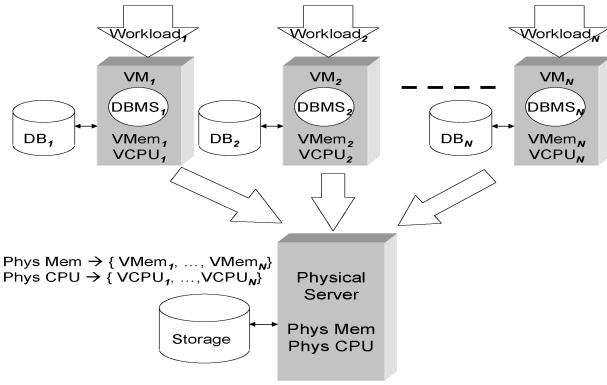


Figure 1: Resource consolidation using virtual machines.

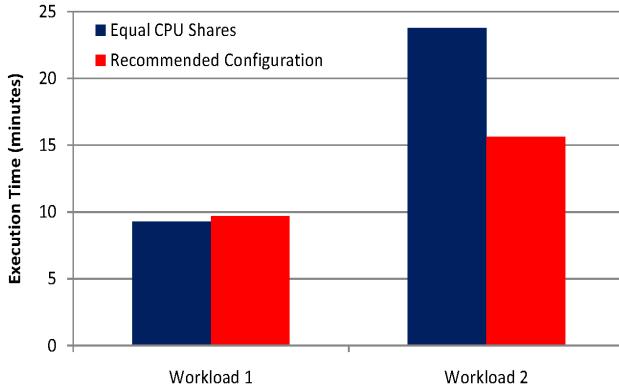


Figure 2: Motivating example.

identical 10GB TPC-H database consisting of 132 copies of a modified version of TPC-H Q18 (we modified the sub-query in Q18 so that it touches less data). We call this Workload 2. As an initial configuration, we allocate 50% of the available CPU capacity to each of the two VMs. When we apply our configuration technique, it recommends allocating 20% of the available CPU capacity to the VM running Workload 1 and 80% to the VM running Workload 2. Figure 2 shows the execution time of the two workloads under the initial and recommended configurations. Workload 1 suffers a slight degradation in performance (4%) under the recommended configuration as compared to the initial configuration. On the other hand, the recommended configuration boosts the performance of Workload 2 by 34%. This is because Workload 1 is very I/O intensive in our execution environment, so its performance is not sensitive to changes in CPU allocation. Workload 2, in contrast, is CPU intensive, so it benefits from the extra CPU allocation. This simple example illustrates the potential performance benefits that can be obtained by adjusting resource allocation levels based on workload characteristics.

Our approach to virtual machine configuration is to use information about the anticipated workloads of each database management system (DBMS) to determine an appropriate configuration for the virtual machine in which it runs. An advantage of this approach is that we can avoid allocating resources to DBMS instances that will obtain little benefit from them. For example, we can distinguish CPU intensive

workloads from I/O intensive workloads and allocate more CPU to the former. Our technique is implemented as a *virtualization design advisor*, analogous to the physical design advisors currently available for most relational DBMS. However, our virtualization design advisor differs from DBMS physical design advisors in two significant ways. First, it recommends a configuration for the virtual machine containing the DBMS, rather than the DBMS itself. Second, our advisor is used to recommend configurations for a set of virtual machines that are sharing physical resources, while most DBMS physical design tools guide the configuration of a single DBMS instance. Once the configured virtual machines are up and running, our advisor is also capable of collecting runtime information that allows it to refine its recommendations online.

The rest of this paper is organized as follows. Section 2 presents an overview of related work. In Section 3, we present a definition of the virtualization design problem. Section 4 describes our virtualization design advisor and presents a cost model calibration methodology that allows the design advisor to leverage the query optimizer cost models of the DBMSes that are being consolidated. In Section 5, we present an extension to the advisor that allows it to refine its recommendations using runtime performance measurements of the consolidated, virtualized DBMS instances. In Section 6, we present an experimental evaluation of our approach using PostgreSQL and DB2 as our target DBMSes. We conclude in Section 7.

2. RELATED WORK

There are currently several technologies for machine virtualization [2, 14, 17, 23], and our proposed virtualization design advisor can work with any of them. As these virtualization technologies are being more widely adopted, there is increasing interest in the problem of automating the deployment and control of virtualized applications, including database systems [9, 15, 16, 19, 24, 25]. Work on this problem varies in the control mechanisms that are exploited and in the performance modeling methodology and optimization objectives that are used. However, a common feature of this work is that the target applications are treated as black boxes that are characterized by simple models, typically governed by a small number of parameters. In contrast, the virtualization design advisor described in this paper is specific to database systems, and it attempts to exploit database system cost models to achieve its objectives. There is also work on application deployment and control, including resource allocation and dynamic provisioning, that does not exploit virtualization technology [3, 8, 21, 22]. However, this work also treats the target applications as black boxes.

The virtualization design problem that is considered here was posed, but not solved, in our previous work [18]. This paper builds on that previous work by proposing a complete solution to the problem in the form of a virtualization design advisor. We also incorporate quality of service constraints into the problem definition, and we present an empirical evaluation of the proposed solution.

There has been a substantial amount of work on the problem of tuning database system configurations for specific workloads or execution environments [26] and on the problem of making database systems more flexible and adaptive in their use of computing resources [1, 6, 10, 12, 20]. However, in this paper we are tuning the resources to the

database system, rather than the other way around. Resource management and scheduling have also been addressed within the context of database systems [4, 5, 7, 11]. That work focuses primarily on the problem of allocating a fixed pool of resources to individual queries or query plan operators, or on scheduling queries or operators to run on the available resources. In contrast, our resource allocation problem is external to the database system, and hence our approach relies only on the availability of query cost estimates from the database systems.

3. PROBLEM DEFINITION

Our problem setting is illustrated in Figure 1. N virtual machines, each running an independent DBMS, are competing for a pool of physical resources. For each DBMS, we are given a workload description consisting of a set of SQL statements (possibly with a frequency of occurrence for each statement). We use W_i ($1 \leq i \leq N$) to represent the workload of the i th DBMS. In our problem setting, since we are making resource allocation decisions across workloads and not for one specific workload, it is important that the workloads represent the statements processed by the different DBMSes *in the same amount of time*. Thus, a longer workload represents a higher rate of arrival for SQL statements.

We assume that there are M different types of physical resources, such as memory, CPU capacity, or I/O bandwidth, that are to be allocated to the virtual machines. Our problem is to allocate a *share*, or fraction, of each physical resource to each of the virtual machines. We will use $R_i = [r_{i1}, \dots, r_{iM}]$, $0 \leq r_{ij} \leq 1$, to represent the resource shares allocated to workload W_i 's virtual machine. The shares are used to set configuration parameters in the virtual machines so that the resource allocations described by the shares are enforced by the virtual machine monitor.

We assume that each workload has an associated cost, which depends on the resources allocated to the virtual machine in which the workload runs. We use $\text{Cost}(W_i, R_i)$ to represent the cost of workload W_i under resource allocation R_i . Our goal is to find a feasible set of resource allocations r_{ij} such that the total cost over all of the workloads is minimized. Specifically, we must choose r_{ij} ($1 \leq i \leq N$, $1 \leq j \leq M$) such that

$$\sum_{i=1}^N \text{Cost}(W_i, R_i)$$

is minimized, subject to $r_{ij} \geq 0$ for all i, j and $\sum_{i=1}^N r_{ij} = 1$ for all j . This problem was originally defined (but not solved) in [18], and was named the *virtualization design problem*.

In this paper, we have also considered a constrained version of the virtualization design problem. The constrained version is identical to the original problem, except for an additional requirement that the solution must satisfy quality of service (QoS) requirements imposed on one or more of the workloads. The QoS requirements specify the maximum increase in cost that is permitted for a workload under the chosen resource assignment. We define the *cost degradation* for a workload W_i under a resource assignment R_i as

$$\text{Degradation}(W_i, R_i) = \frac{\text{Cost}(W_i, R_i)}{\text{Cost}(W_i, [1, \dots, 1])}$$

where $[1, \dots, 1]$ represents the resource assignment in which

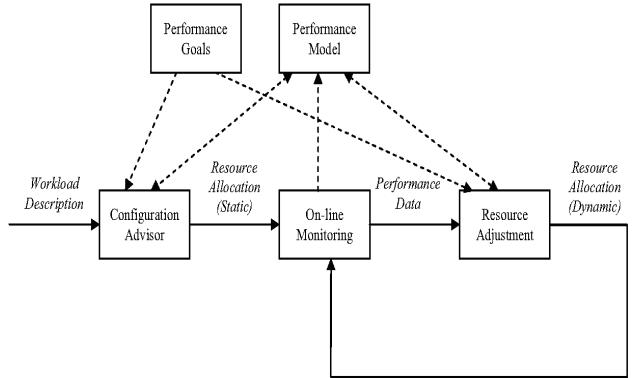


Figure 3: Virtualization design advisor.

all of the available resources are allocated to W_i . In the constrained version of the virtualization design problem, a *degradation limit* L_i is specified for each workload W_i , and the solution is required to obey the constraint

$$\text{Degradation}(W_i, R_i) \leq L_i$$

for all workloads. The degradation limit L_i can be specified to be infinite for workloads for which limiting degradation is not desired.

We also introduce a mechanism for specifying relative priorities among the different workloads. A *benefit gain factor* G_i can be specified for each workload, indicating how important it is to improve the performance of this workload compared to other workloads. Each unit of cost improvement for the workload is considered to be worth G_i cost units. The default setting for the different workloads is $G_i = 1$, indicating that all workloads should be treated equally. Increasing G_i for a particular workload may cause it to get more than its fair share of resources since cost improvements to it are amplified. Incorporating this metric into our problem definition requires us to change the cost equation being minimized to the following:

$$\sum_{i=1}^N G_i \times \text{Cost}(W_i, R_i)$$

In this paper, we focus on the case in which the two resources to be allocated among the virtual machines are CPU time and memory, i.e., $M = 2$. Most virtual machine monitors currently provide mechanisms for controlling the allocation of these two resources to VMs, but it is uncommon for virtual machine monitors to provide mechanisms for controlling other resources, such as storage bandwidth. Nevertheless, our problem formulation and our virtualization design advisor can handle as many resources as the virtual machine monitor can control.

4. VIRTUALIZATION DESIGN ADVISOR

A high level overview of our virtualization design advisor is given in Figure 3. The advisor makes initial, static resource allocation recommendations based on the workload descriptions and performance goals. Two modules within the design advisor interact to make these recommendations: a configuration enumerator and a cost estimator. The configuration enumerator is responsible for directing the exploration of the space of possible configurations, i.e., allocations

Parameter	Description
<code>random_page_cost</code>	cost of non-sequential disk page I/O
<code>cpu_tuple_cost</code>	CPU cost of processing one tuple
<code>cpu_operator_cost</code>	per-tuple CPU cost for each WHERE clause
<code>cpu_index_tuple_cost</code>	CPU cost of processing one index tuple
<code>shared_buffers</code>	shared database bufferpool size
<code>work_mem</code>	amount of memory to be used by sorting and hashing operators
<code>effective_cache_size</code>	size of the file system's page cache

Figure 4: PostgreSQL optimizer parameters.

of resources to virtual machines. The configuration enumerator is described in more detail in Section 4.3. To evaluate the cost of a workload under a particular resource allocation, the advisor uses the cost estimation module. Given a workload W_i and a candidate resource assignment R_i , selected by the configuration enumerator, the cost estimation module is responsible for estimating $Cost(W_i, R_i)$. Cost estimation is described in more detail in Section 4.1.

In addition to recommending initial virtual machine configurations, the virtualization design advisor can also adjust its recommendations dynamically based on observed workload costs to correct for any cost estimation errors at the original recommendation phase. This online refinement is described in Section 5.

4.1 Cost Estimation

Given a workload W_i and a candidate resource allocation R_i , the cost estimator is responsible for estimating $Cost(W_i, R_i)$. Our strategy for cost estimation is to leverage the cost models that are built into the database systems for query optimization. These models incorporate a wealth of information about query processing within the DBMS, and we would like to avoid reinventing this for the purpose of virtualization design.

A DBMS cost model can be described as a function $Cost_{DB}(W_i, P_i, D_i)$, where W_i is a SQL workload, $P_i = [p_{i1}, p_{i2}, \dots, p_{iL}]$ is a vector of optimizer configuration parameters, and D_i is the database instance. The parameters P_i are used to describe both the available computing resources and relevant parts of the DBMS configuration to the cost model. For example, Figure 4 lists the relevant configuration parameters used by PostgreSQL version 8.1.3.

There are two difficulties in directly applying the DBMS cost model for cost estimation for virtualization design. The first problem is the difficulty of comparing cost estimates produced by different DBMSes. This is required for virtualization design because the design advisor is required to assign resources to multiple database systems, each of which may use a different cost model. DBMS cost models are intended to produce estimates that can be used to compare the costs of alternative query execution strategies for a single DBMS and a fixed execution environment. In general, comparing cost estimates from different DBMS may be difficult because they may have very different notions of cost. For

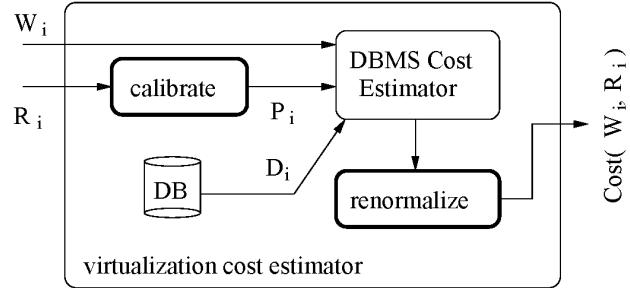


Figure 5: Cost estimation for virtualization design.

example, one DBMS's definition of cost might be response time, while another's may be total computing resource consumption. Even if two DBMSes have the same notion of cost, the cost estimates are typically normalized, and different DBMSes may normalize costs differently. The first of these two issues is beyond the scope of this paper, and fortunately it is often not an issue since many DBMS optimizers define cost as total resource consumption. For our purposes we will assume that this is the case. The normalization problem is not difficult to solve, but it does require that we renormalize the result of $Cost_{DB}(W_i, R_i, D_i)$ so that estimates from different DBMS will be comparable.

The second problem is that the DBMS cost estimates depend on the parameters P_i , while the virtualization design advisor is given a candidate resource allocation R_i . Thus, to leverage the DBMS query optimizer, we must have a means of mapping the given candidate resource allocation to a set of DBMS configuration parameter values that reflect the candidate allocation. We use this mapping to define a new “what-if” mode for the DBMS query optimizer. Instead of generating cost estimates under fixed settings of P_i , we map a given R_i to the corresponding P_i , and we use the P_i to answer the question: “if the parameter settings were to be set in a particular way, what would be the cost of the optimal plan for the given workload?”.

To address these problems, we construct cost estimators for virtualization design as shown in Figure 5. A calibration step is used to determine a set of DBMS cost model configuration parameters corresponding to the given candidate resource allocation R_i . Once these parameter values have been set, the DBMS cost model is then used to generate $Cost_{DB}$ for the given workload. Finally, this cost is renormalized to produce the cost estimate required by the virtualization design advisor.

The calibration and renormalization steps shown in Figure 5 must be custom-designed for each type of DBMS for which the virtualization design advisor will be recommending designs. To test the feasibility of this approach, we have designed calibration and renormalization steps for both PostgreSQL and DB2. In the following, we describe how these steps were designed, using PostgreSQL as an illustrative example. The methodology for DB2 is very similar.

As has already been noted, we assume that the DBMS defines cost as total resource consumption and, as a result, the renormalization step is simple. For example, in PostgreSQL, all costs are normalized with respect to the time required for a single sequential I/O operation. We have chosen to express costs in units of seconds. Thus, renor-

malization for PostgreSQL simply requires that we multiply $Cost_{DB}$ by the number of seconds required for a sequential I/O operation. To determine this renormalization factor, we created a simple calibration program that sequentially reads 8 kilobyte (the PostgreSQL page size) blocks of data from the virtual machine's file system and reports the average time per block.

The calibration of the optimizer configuration parameters P_i is more involved. We can distinguish two types of parameters. *Prescriptive parameters* define the configuration of the DBMS itself. Changing the value of these parameters changes the configuration of the DBMS itself. For PostgreSQL, `shared_buffers` and `work_mem` are prescriptive parameters. *Descriptive parameters*, in contrast, exist only to characterize the execution environment. Changing these parameters affects the DBMS only indirectly through the effect that they have on cost estimates. In PostgreSQL, parameters like `cpu_tuple_cost`, `random_page_cost`, and `effective_cache_size` are descriptive parameters.

Values for prescriptive parameters must be chosen to reflect the mechanisms or policies that determine the DBMS configuration. For example, if the PostgreSQL `work_mem` parameter will be left at its default value regardless of the amount of memory that our design advisor allocates to the virtual machine in which the DBMS will run, then the calibration procedure should simply assign that default value to the `work_mem` parameter. If, on the other hand, the DBMS's configuration will be tuned in response to the amount of memory that is allocated to the virtual machine, then the calibration procedure should model this tuning policy. For example, in our experiments our policy was to set `shared_buffers` to 1/16 of the memory available in the host virtual machine, and to set `work_mem` to 5MB regardless of the amount of memory available. Thus, our calibration procedure mimics these policies, setting `shared_buffers` according to the virtual machine memory allocation described by R_i and setting `work_mem` to 5MB regardless of R_i .

For each descriptive parameter p_{ik} , we wish to determine a calibration function Cal_{ik} that will define a value for p_{ik} as a function of the candidate resource allocation R_i . To do this, we use the following basic methodology for each parameter p_{ik} :

1. Define a *calibration query* Q and a *calibration database* D such that $Cost_{DB}(Q, P_i, D)$ is independent of all descriptive parameters in P_i except for p_{ik} .
2. Choose a resource allocation R_i , instantiate D , and run Q under that resource allocation, and measure its execution time T_Q .
3. Solve $Renormalize(Cost_{DB}(Q, P_i, D)) = T_Q$ for p_{ik} , and associate the resulting p_{ik} value with the resource allocation R_i . Here the *Renormalize()* function represents the application of the renormalization factor that was determined for the DBMS.
4. Repeat the two preceding steps for a variety of different resource allocations R_i , associating each with a value of p_{ik} .
5. Perform regression analysis on the set of (R_i, p_{ik}) value pairs to determine calibration function Cal_{ik} from resource allocations to p_{ik} values.

A specific instance of this general methodology must be designed for each type of DBMS that will be considered by the virtualization design advisor. The primary design tasks are the design of the calibration queries Q and calibration database D (Step 1), the choice of resource allocations for which calibration measurements will be taken (Step 2), and the choice of function to be fit to the calibration data (Step 5). The design of the calibration methodology demands deep expertise in the implementation of the target DBMS for the selection of calibration queries and database in Step 1. For example, it is important to ensure that the cost of the calibration queries is dependent only on the parameter that is being calibrated. It is also important to choose the calibration database in such a way that all optimizer assumptions are satisfied, so that the cost estimates it produces are accurate. For example, if the optimizer assumes a uniform data distribution then the calibration database should be uniformly distributed. The expertise required for designing the calibration methodology is not a major constraint on our approach, since this methodology need only be designed once for each type of DBMS.

In practice, the basic methodology can be refined and generalized in several ways. One improvement is to choose calibration queries in Step 1 that have minimal *non-modeled* costs. For example, one cost that is typically not modeled is the cost of returning the query result to the application.² This cost can be minimized by choosing calibration queries that return few rows. Care is also required in defining the calibration database. For example, it should be just large enough to allow query execution times to be measured accurately. Larger databases will increase the run times of the calibration queries and hence the cost of calibration. Ideally, a single calibration database would be designed to be shared by all of the calibration queries so that it is not necessary to instantiate multiple databases during calibration.

Another potential problem with the basic methodology is that it may not be possible to choose a single query that isolates a particular cost model parameter in Step 1. In this case, one can instead identify a set of k queries that depend on k parameters (only). In Step 3 of the algorithm, a system of k equations is solved to determine values for the k parameters for a given resource allocation. As a simple example, consider the design of a calibration method for the `cpu_tuple_cost` parameter. PostgreSQL models the cost of a simple sequential table scan as a linear function of `cpu_tuple_cost` that involves no other cost model parameters. Thus, we could use a simple single-table selection query without predicates as our calibration query for `cpu_tuple_cost` in Step 1. However, such a query would potentially return many tuples, leading to a large unmodeled cost. To eliminate this problem, we could instead choose a `select count(*)` query without predicates, since such a query will return only a single row. However, the use of aggregation in the query introduces a second cost model parameter (`cpu_operator_cost`) into the query cost model. Thus, a second calibration query involving the same two parameters will be required. One possibility is to use another `select count(*)` query with a `group by` clause. The measured run times of these two queries will then define a system of two equations that can be solved to determine appropri-

²DBMS cost models ignore this cost because it is the same for all plans for a given query, and thus is irrelevant for the task of determining which plan is cheapest.

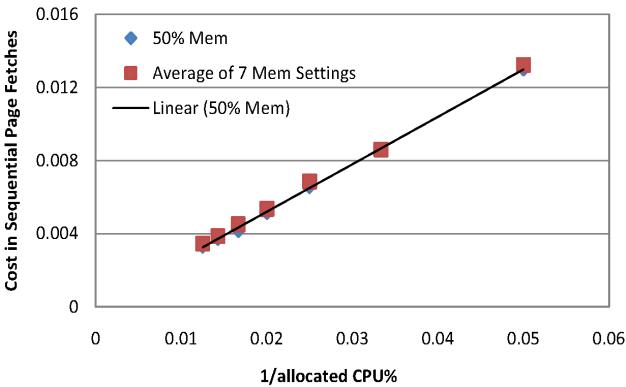


Figure 6: Variation in `cpu_tuple_cost`.

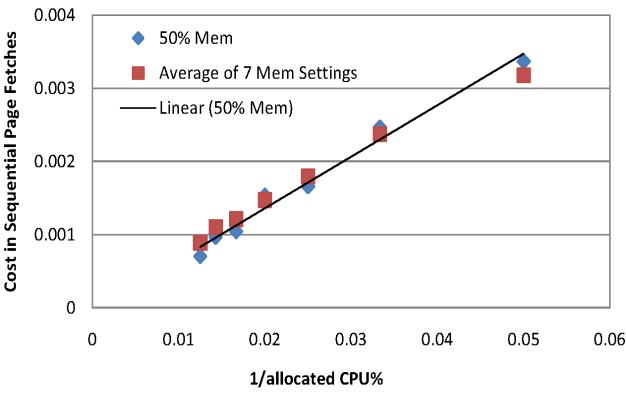


Figure 7: Variation in `cpu_operator_cost`.

ate values for `cpu_operator_cost` and `cpu_tuple_cost` for each tested resource allocation.

4.2 Optimizing the Calibration Process

One of our major concerns was “How can we reduce the number of different virtual machines we need to realize and the number of calibration queries we need to run in order to calibrate the query optimizer?” If we have N CPU settings and M memory settings for the calibration experiments, a simplistic approach would be to realize $N \times M$ virtual machines and calibrate the parameters for each one. However, we could significantly reduce the calibration effort by relying on the observation that CPU, I/O, and memory optimizer parameters are *independent* of each other and hence can be calibrated independently. We have verified this observation experimentally on PostgreSQL and DB2.

For example, we have observed that the PostgreSQL CPU optimizer parameters vary linearly with $1/(\text{allocated CPU fraction})$. This is expected since if the CPU share of a VM is doubled, its CPU costs would be halved. At the same time, the CPU parameters do not vary with memory since they are not describing memory. Thus, instead of needing $N \times M$ experiments to calibrate CPU parameters, we only need N experiments for the N CPU settings. Figures 6–8 show the linear variation of the three CPU parameters of the PostgreSQL optimizer with $1/(\text{CPU share})$. The figures show for each parameter the value of the parameter obtained from a VM that was given 50% of the available

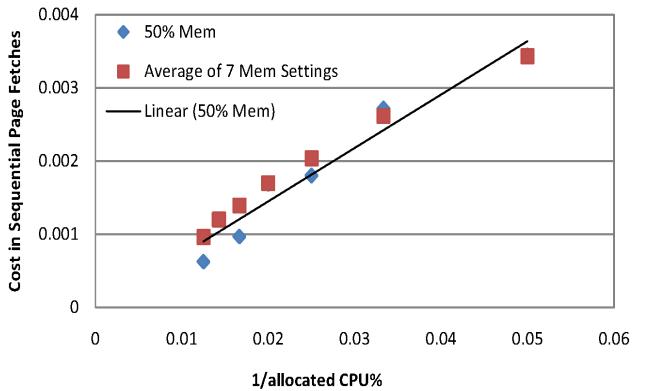


Figure 8: Variation in `cpu_index_tuple_cost`.

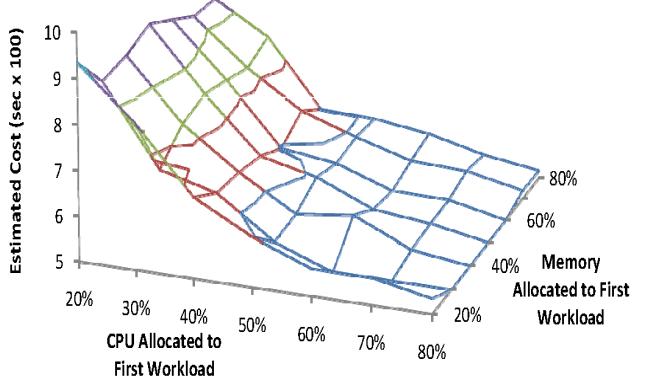


Figure 9: Objective function for two workloads not competing for CPU.

memory, the average value of the parameter obtained from 7 different VMs with memory allocations of 20%–80%, and a linear regression on the values obtained from the VM with 50% of the memory. We can see from the figures that CPU parameters do not vary too much with memory, and that the linear regression is a very accurate approximation. Thus, in our calibration of PostgreSQL, we calibrate the CPU parameters at 50% memory allocation, and we use a linear regression to model how parameter values vary with CPU allocation. We have found similar optimization opportunities for memory parameters, which can be calibrated at one CPU setting, and I/O parameters, which do not depend on CPU or memory and can be calibrated once.

We expect that for all database systems, the optimizer parameters describing one resource will be independent of the level of allocation of other resources, and we will be able to optimize the calibration process as we did for PostgreSQL. This requires expert knowledge of the DBMS, but it can be considered part of designing the calibration process for the DBMS, which is performed once by the DBMS expert and then used as many times as needed by users of the virtualization design advisor.

4.3 Configuration Enumeration

The shape of the objective function we are minimizing is fairly smooth and concave. For example, Figures 9 and 10 show the shape of this function for two workload mixes from the TPC-H benchmark running on PostgreSQL. In Figure 9,

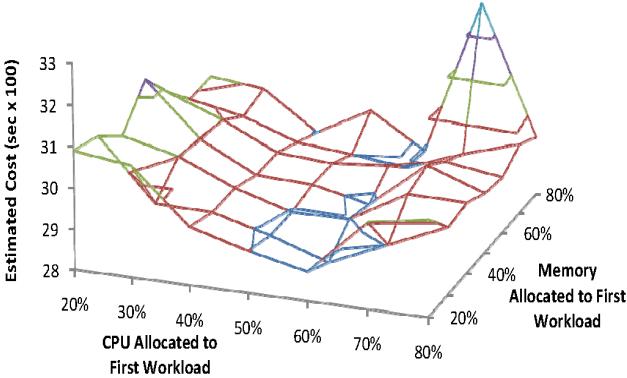


Figure 10: Objective function for two workloads competing for CPU.

```

// start with equal resource shares for all workloads
foreach i from 1 to N do
  Ri = [1/N, ..., 1/N]
  Ci = Gi × Cost(Wi, Ri)
end

// greedily shift resources until no more benefit
repeat
  MaxDiff = 0
  foreach j from 1 to M do
    MaxGainj = 0
    MinLossj = ∞
    foreach i from 1 to N do
      // who benefits most from an increase?
      // δ is a tunable algorithm parameter
      C' = Gi × Cost(Wi, [ri1, ..., rij + δ, ..., riM])
      if (Ci - C') > MaxGainj then
        MaxGainj = Ci - C'
        igain = i
      end
      // who suffers least from a reduction?
      C' = Gi × Cost(Wi, [ri1, ..., rij - δ, ..., riM])
      if (C' - Ci < MinLossj) and
        (C' satisfies degradation limit Li) then
        MinLossj = C' - Ci
        ilose = i
      end
    end
    // maximum benefit from adjusting this resource?
    if (igain ≠ ilose) and
      (MaxGainj - MinLossj > MaxDiff) then
      MaxDiff = MaxGainj - MinLossj
      imaxgain = igain
      imaxlose = ilose
      jmax = j
    end
  end
  if (MaxDiff > 0) then
    rimaxgainjmax = rigainjmax + δ
    rimaxlosejmax = rilosejmax - δ
  else
    done = true
  until done

```

Figure 11: Greedy configuration enumeration.

one workload is CPU intensive and the other is not, and in Figure 10 both workloads are CPU intensive and are competing for CPU. In both cases the shape of the cost function remains smooth and concave. We have also verified this for the case where we have $N > 2$ workloads. Hence, we adopt a *greedy search* as our search algorithm. Due to the nature of the objective function, greedy search is accurate and fast, and is not likely to terminate at a local minimum. We have observed that when the greedy search does terminate at a local minimum, this minimum is not far off from the global minimum.

Figure 11 illustrates our greedy configuration enumeration algorithm. Initially, the algorithm assigns a $1/N$ share of each resource to each of the N workloads. It then proceeds iteratively. In each iteration, it considers shifting a share δ (say, 5%) of some resource from one workload to another. The algorithm considers all such resource reallocations, and if it finds reallocations of resources that are beneficial according to the cost estimator, then it makes the most beneficial reallocation and iterates again. If no beneficial reallocations are found, algorithm terminates, reporting the current resource allocations as the recommended allocations.

The algorithm is greedy in the sense that it always removes resources from the workload whose estimated cost will increase the least as a result of the reallocation, and always adds resources to the workload whose estimated cost will decrease the most as a result. If a workload has a performance degradation limit, L_i , the algorithm will only take resources away from this workload if its performance after its resource level is reduced still remains within its degradation limit. If a workload has a benefit gain factor, G_i , the algorithm will multiply its cost by G_i for all levels of resource allocation. Since each iteration's reallocation affects only two workloads and the reallocation only occurs if those workloads see a combined net cost reduction, each iteration of the algorithm will decrease the total cost of the N workloads.

Unlike the cost model calibration procedure described in Section 4.1, the greedy search algorithm used for configuration enumeration does not require any access to the virtualization infrastructure and does not involve the execution of any database queries, since it is based on cost models. The algorithm does, however, call the DBMS query optimizer to estimate costs, and these calls can potentially be expensive. A simple way to reduce the number of optimizer calls is to cache the estimated costs computed in one iteration of the algorithm and reuse them in subsequent iterations. Since the algorithm changes the resource allocation of only two workloads in each iteration, there will be lots of opportunities for reusing cached costs.

5. ONLINE REFINEMENT

Our virtualization design advisor relies for cost estimation on the query optimizer calibrated as described in the previous section. This enables the advisor to make resource allocation recommendations based on an informed and fairly accurate cost model without requiring extensive experimentation. However, the query optimizer – like any cost model – may have inaccuracies that lead to suboptimal recommendations. When the virtual machines are configured as recommended by our advisor, we can observe the actual completion times of the different workloads in the different virtual

machines, and we can refine the cost models used for making resource allocation recommendations based on these observations. After this, we can re-run the design advisor using the new cost models and obtain an improved resource allocation for the different workloads. This *online refinement* continues until the allocations of resources to the different workloads stabilize. We emphasize that the goal of online refinement is not to deal with dynamic changes in the nature of the workload, but rather to correct for any query optimizer errors that lead to suboptimal recommendations for the given workload. Next, we present two approaches to online refinement. The first is a basic approach that can be used when recommending allocations for one resource, and the second generalizes this basic approach to multiple resources.

5.1 Basic Online Refinement

Our basic online refinement approach refines the cost models used for recommending resource allocations for one resource. A fundamental assumption in this approach is that workload completion times are *linear in the inverse of the resource allocation level*. This means that the cost of workload W_i under resource allocation level r_i can be given by:

$$Cost(W_i, [r_i]) = \frac{\alpha_i}{r_i} + \beta_i$$

where α_i and β_i are the parameters of the linear model for workload W_i . To obtain the α_i and β_i representing the query optimizer cost model for W_i , we run a linear regression on multiple points representing the estimated costs for different $1/r_i$ values that we obtain during the configuration enumeration phase. Subsequently, we refine the different cost models by adjusting α_i and β_i based on the observed costs (workload completion times).

Let the estimated cost for workload W_i at the resource level recommended by the design advisor be Est_i . At runtime, we can observe the actual cost of running the workload, Act_i . The difference between Est_i and Act_i guides our refinement process. One important observation is that refining the cost models of the different workloads will not lead to a different resource allocation recommendation unless the refinement process changes the *slopes* of the cost equations (i.e., the α_i 's). If a cost model underestimates the real cost we have to increase the slope, and if it overestimates the cost we have to reduce the slope. This will cause the resource allocation recommendation to move in the right direction. The magnitude of the slope change should be proportional to the observed error (the distance between Est_i and Act_i). The further Act_i is from Est_i , the higher the adjustment that is needed to correct the inaccuracy in resource allocation decisions. At the same time, the line for the adjusted cost model should pass through the observed actual point, Act_i . These requirements lead us to the following heuristic for refining the cost model: *Scale the linear cost equation by $\frac{Act_i}{Est_i}$* . Thus, the cost equation after refinement is given by:

$$Cost'(W_i, [r_i]) = \frac{Act_i}{Est_i} \cdot \frac{\alpha_i}{r_i} + \frac{Act_i}{Est_i} \cdot \beta_i$$

After observing the actual completion times of all workloads and refining their cost equations, we re-run the virtualization design advisor using the new cost equations to obtain a new resource allocation recommendation. If the new recommendation is the same as the old recommendation, we stop the refinement process. Otherwise, we perform

another iteration of online refinement. In the second iteration and beyond, we have multiple actual observed costs for each workload from the different iterations of online refinement, so we obtain the linear cost equation by running a linear regression based on these observed costs (without using optimizer estimates). To prevent the refinement process from continuing indefinitely, we place an upper bound on the number of refinement iterations. In our experiments, refinement always converges in one or two iterations.

5.2 Generalized Online Refinement

The basic online refinement approach is sufficient if we are recommending allocations for one resource for which the assumption of a linear cost equation holds. In general, we may be recommending allocations for more than one resource. Moreover, it is not always the case that we can assume a linear cost equation for all resource allocation levels. We deal with each of these issues in turn in the following paragraphs.

To enable online refinement when we are recommending allocations for multiple resources, we extend our assumption of a linear cost equation to multiple dimensions. When recommending allocations for M resources, we assume that the cost of workload W_i given resource allocation $R_i = [r_{i1}, \dots, r_{iM}]$ can be given by:

$$Cost(W_i, R_i) = \sum_{j=1}^M \frac{\alpha_{ij}}{r_{ij}} + \beta_i$$

As in the one-resource case, we obtain the α_{ij} 's and β_i representing the optimizer cost model for a workload by running a linear regression on estimated costs obtained during configuration enumeration. In this case, the regression is a *multi-dimensional* linear regression.

To refine the cost equation based on observed actual cost, we use the same reasoning that we used for the basic refinement approach, and therefore we scale the cost equation by $\frac{Act_i}{Est_i}$. Thus, the cost equation after refinement is given by:

$$\begin{aligned} Cost'(W_i, R_i) &= \sum_{j=1}^M \frac{Act_i}{Est_i} \cdot \frac{\alpha_{ij}}{r_{ij}} + \frac{Act_i}{Est_i} \cdot \beta_i \\ &= \sum_{j=1}^M \frac{\alpha'_{ij}}{r_{ij}} + \beta'_i \end{aligned}$$

where $\alpha'_{ij} = \frac{Act_i}{Est_i} \alpha_{ij}$ and $\beta'_i = \frac{Act_i}{Est_i} \beta_i$.

After refining the cost equations based on observed costs, we re-run the design advisor using the new cost equations to get a new resource allocation recommendation. Since the linear cost equations may not hold for all resource allocation levels, we only allow the design advisor to change the allocation level of any resource for any workload by at most Δ_{max} (e.g., 10%). This is based on the assumption that the linear cost equations will hold *but only within a restricted neighborhood around the original resource allocation recommendation*. This local linearity assumption is much more constrained than the global linearity assumption made in Section 5.1, so we can safely assume that it holds for all workloads and all resources. However, the downside of using this more constrained assumption is that we can only make small adjustments in resource allocation levels. This is sufficient for cases where the query optimizer cost model has only small errors, but it cannot deal with cases where

the errors in the optimizer cost model are large. Dealing with situations where the optimizer cost model has large errors is a subject for future investigation.

If the newly obtained resource allocation recommendation is the same as the original recommendation, we stop the refinement process. If not, we continue to perform iterations of online refinement. When recommending allocations for M resources, we need $M + 1$ actual cost observations to be able to fit a linear model to the observed costs without using optimizer estimates. Thus, for the first M iterations of online refinement, we use the same procedure as the first iteration. We compute an estimated cost for each workload based on the current cost model of that workload, Est_i . We then observe the actual cost of the workload Act_i and scale the cost equation by $\frac{Act_i}{Est_i}$. For example, the cost equation after the second iteration would be as follows:

$$\begin{aligned} Cost''(W_i, R_i) &= \sum_{j=1}^M \frac{Act_i}{Est_i} \cdot \frac{\alpha'_{ij}}{r_{ij}} + \frac{Act_i}{Est_i} \cdot \beta'_i \\ &= \sum_{j=1}^M \frac{\alpha''_{ij}}{r_{ij}} + \beta''_i \end{aligned}$$

where $\alpha''_{ij} = \frac{Act_i}{Est_i} \alpha'_{ij}$ and $\beta''_i = \frac{Act_i}{Est_i} \beta'_i$. This refinement approach retains some residual information from the optimizer cost model until we have sufficient observations to stop relying on the optimizer.

If refinement continues beyond M iterations, we fit an M -dimensional linear regression model to the observed cost points (of which there will be more than M), and we stop using optimizer estimates. Throughout the refinement process, when we run the virtualization design advisor to obtain a new resource allocation recommendation, we always restrict the change in the recommended level of any resource to be within Δ_{max} of the original level recommended in the first iteration. This ensures that we are operating in a region within which we can assume a linear cost model. To guarantee that the refinement process terminates, we place an upper bound on the number of refinement iterations.

6. EXPERIMENTAL EVALUATION

6.1 Experimental Setup

Environment: We conduct experiments using the DB2 V9 and PostgreSQL 8.1.3 database systems. The DB2 experiments use a machine with two 3.4GHz dual core Intel Xeon x64 processors and 1 GB of memory, running RedHat Enterprise Linux 5. The PostgreSQL experiments use a machine with two 2.2GHz dual core AMD Opteron Model 275 x64 processors and 8GB memory, running SUSE Linux 10.1. We use Xen as our virtualization environment [2], installing both database systems on Xen-enabled versions of their respective operating systems. The resource control capabilities required by our configuration advisor are available in all major virtualization environments, but we use Xen because of its growing popularity – most Linux distributions now come with full Xen support as a standard feature.

Workloads: We use queries from the TPC-H benchmark and an OLTP workload for our experiments. The two database systems have different TPC-H databases. For DB2, we use an expert-tuned implementation of the benchmark with scale factor 1 (1GB). With indexes, the size of this database on

disk is 7GB. For PostgreSQL, we use the OSDL implementation of the benchmark [13], which is specifically tuned for PostgreSQL. For most of our experiments, we use a database with scale factor 1, which has a total size on disk with indexes of 4GB. In Section 6.5, we use a PostgreSQL database with scale factor 10, which has a total size on disk with indexes of 30GB. The OLTP workload is run only on DB2. This workload is modeled after a real customer workload for a credit card transaction database. The database consists of one table that has 112 character fields with a total width of 2318 bytes. This table starts empty, and the workload accessing it consists of M client threads concurrently inserting then retrieving then updating x rows each into this table. For our experiments, we use $M = 40$ clients and we vary x to get OLTP workloads of varying sizes.

Virtual Machines and Resource Allocation: The basic setup for our experiments is that we run N different workloads in N virtual machines that all share the same physical machine. The Xen virtual machine monitor (known as the *hypervisor* in Xen terminology), like all virtual machine monitors, provides mechanisms for controlling allocation of resources to the different virtual machines. The Xen hypervisor allows us to control a virtual machine's CPU allocation by varying the CPU scheduling time slice of this machine. The hypervisor also allows us to control the amount of physical memory allocated to a virtual machine. Our virtualization design advisor uses these mechanisms provided by Xen to control the allocation of resources to the different virtual machines. We have observed that for the workloads used in our experiments, the amount of memory allocated to a virtual machine has only a minor effect on performance. We, therefore, focus our experimental evaluation on the effectiveness of our virtualization design advisor at deciding the CPU allocations of the different virtual machines. For most of our experiments, we give each virtual machine a fixed memory allocation of 512MB. We set the memory parameters of DB2 to 190MB for the buffer pool and 40MB for the sort heap (we do not use the DB2 self-tuning memory manager that automatically adjusts memory allocations). For PostgreSQL, we set the shared buffers to 32MB and the work memory to 5MB. When running experiments with PostgreSQL on the TPC-H database with scale factor 10, we give the virtual machine 6GB of memory, and we set the PostgreSQL shared buffers to 4GB and work memory to 5MB. To obtain the estimated workload completion times based on the query optimizer cost model, we only need to call the optimizer with its CPU and memory parameters set appropriately according to our calibration procedure, without needing to run a virtual machine. To obtain the actual workload completion times, we run the virtual machines individually one after the other on the physical machine, setting the virtual machine and database system parameters to the required values. We use a warm database for these runs. We have verified that the performance isolation capability of Xen ensures that running the virtual machines concurrently or one after the other yields the same workload completion times for our workloads.

Performance Metric: Without a virtualization design advisor, the simplest resource allocation decision is to allocate $1/N$ of the available resources to each of the N virtual machines sharing a physical machine. We call this the *default resource allocation*. To measure performance, we determine the total execution time of the N workloads under this de-

fault allocation, $T_{default}$, and we also determine the total execution time under the resource allocation recommended by our advisor for the different workloads, $T_{advisor}$. Our metric for measuring performance is *relative performance improvement over the default allocation*, defined as $\frac{T_{default} - T_{advisor}}{T_{default}}$.

For most of our experiments, we compute this metric based on the query optimizer cost estimates, but for some experiments we compute the performance improvement based on the actual run time of the queries.

6.2 Cost of Calibration and Search Algorithms

The cost of the query optimizer calibration process highly depends on the targeted DBMS. Calibrating the DB2 optimizer involved executing stand-alone programs to measure the following three resource related parameters: CPU speed, I/O bandwidth, and I/O overhead. The DB2 optimizer can determine all of its remaining resource related parameters from these three parameters. Calibrating CPU speed took 60 seconds for low CPU configurations and 20 seconds for high CPU configurations. Calibrating I/O parameters took 105 seconds. For both DB2 and PostgreSQL, calibrating the I/O parameters was done for only one CPU setting since we have observed that these parameters are independent of the virtual machine CPU configuration. In total, the DB2 calibration process for all CPU allocation levels to the virtual machine took less than 6 minutes. Calibrating the PostgreSQL optimizer involved executing SQL queries to calibrate CPU related parameters, and stand-alone programs to measure I/O related parameters. Calibrating CPU parameters took an average of 90 seconds for low CPU configurations and 40 seconds for high CPU configurations. Calibrating I/O parameters took 60 seconds. The entire PostgreSQL calibration process took less than 9 minutes.

The cost of the search algorithm used by the virtualization design advisor depends on whether we are doing the initial recommendation or online refinement. For the initial recommendation, the search algorithm needs to call the query optimizer multiple times for cost estimation. The algorithm converged in 8 iterations of greedy search or less, and it took less than 2 minutes. For online refinement, the search algorithm uses its own cost model and does not need to call the optimizer. Convergence still took 8 iterations or less of greedy search, but this always completed in less than 1 minute. With these results we can see that the overhead of our design advisor is acceptable: a one-time calibration process that requires less than 10 minutes, and a search algorithm that typically takes less than 1 minute.

6.3 Sensitivity to Workload Resource Needs

In this set of experiments, we verify that our advisor can accurately respond to the different resource needs of different workloads. For this experiment, we examine the behavior of the 22 TPC-H queries for a database with scale factor 1, and we determine that $Q18$ is one of the most CPU intensive queries in the benchmark (i.e., its performance improves significantly if it is given more CPU), while $Q21$ is one of the least CPU intensive queries in the benchmark (i.e., its performance does not improve too much if it is given more CPU). Thus, we use workloads consisting of multiple copies of $Q18$ and $Q21$, and we vary the resource needs of the workloads by varying the number of copies of the two query types. One subtle point to note here is that $Q21$ has much longer estimated and actual run times than $Q18$, so a vir-

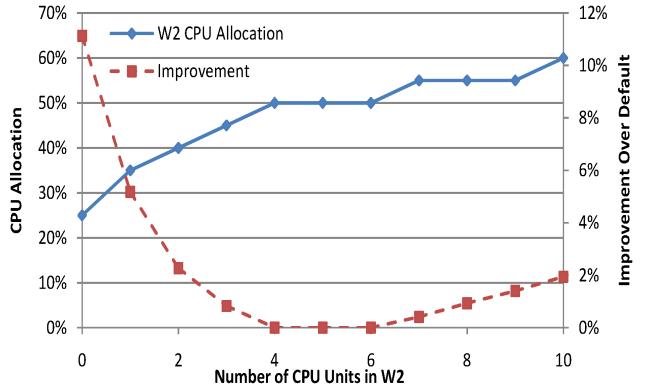


Figure 12: Varying CPU intensity (DB2).

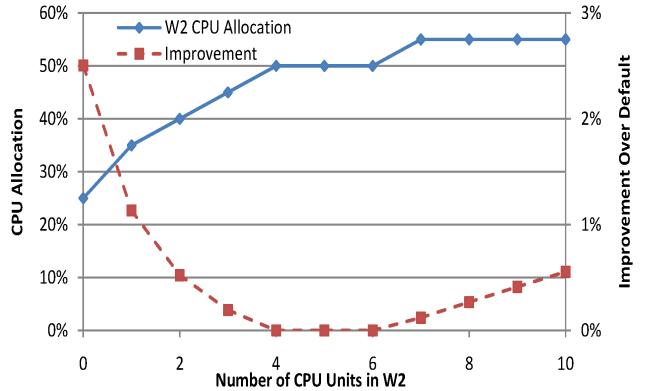


Figure 13: Varying CPU intensity (PostgreSQL).

tual machine that is running one copy of $Q18$ will appear to be “less loaded” than a virtual machine that is running one copy of $Q21$, and hence the $Q21$ VM will be given more resources by our advisor. This would be the correct decision in this case, but we want to make sure that any variation in the resource allocation to the different workloads is due to variations in their resource needs not simply due to having different lengths. Thus, we use 25 copies of $Q18$ as our CPU intensive workload “unit”, which we refer to as C , and 1 copy of $Q21$ as our CPU non-intensive workload unit, which we refer to as I . To create workloads with different CPU needs, we combine different numbers of C and I units. Note that both C and I are decision support queries that both have fairly high CPU demands, even though the demands of C are greater than I . Hence, using C and I for our workloads leaves almost no slack for the advisor to improve performance. Our purpose in this section is to illustrate that the advisor can detect the different resource needs of the different workloads and improve performance even in this competitive environment.

In our first experiment, we use two workloads that run in two different virtual machines. The first workload consists of 5 C units and 5 I units (i.e., $W_1 = 5C + 5I$). The second workload has k C units and $(10 - k)I$ units for $k = 0$ to 10 (i.e., $W_2 = kC + (10 - k)I$). As k increases, W_2 becomes more CPU intensive while W_1 remains unchanged. The relative sizes of the workloads remain unchanged due to the way we scale C and I to have the same size. Figures 12 and 13 show for DB2 and PostgreSQL, respectively, for dif-

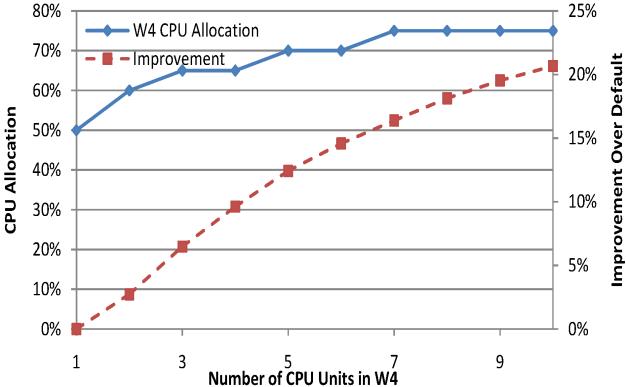


Figure 14: Varying workload size and resource intensity (DB2).

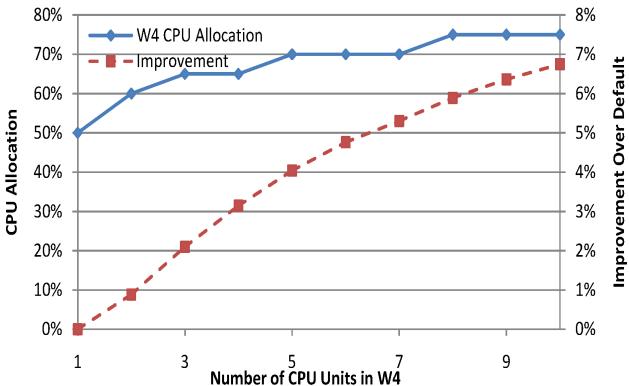


Figure 15: Varying workload size and resource intensity (PostgreSQL).

ferent values of k , the amount of CPU allocated to W_2 by our virtualization design advisor (on the left y -axis) and the estimated performance improvement of this allocation over the default allocation of 50% CPU to each workload (on the right y -axis). For small k , our design advisor gives most of the CPU to W_1 because W_1 is more CPU intensive. As k increases, our advisor is able to detect that W_2 is becoming more CPU intensive and therefore it gives W_2 more CPU. Overall performance is improved over the default allocation except in the cases where the two workloads are similar to each other so that the default allocation is optimal. The magnitude of the performance improvement is small because both workloads are fairly CPU intensive so the performance degradation of W_1 when more of the CPU is given to W_2 is only slightly offset by the performance improvement in W_2 . The main point of this experiment is that the advisor is able to detect the different resource needs of different workloads and make the appropriate resource allocation decisions.

In our second experiment, we use two workloads that run in two different virtual machines. The first workload consists of 1 C unit (i.e., $W_3 = 1C$). The second workload has k C units for $k = 1$ to 10 (i.e., $W_4 = kC$). As k increases, W_4 becomes longer compared to W_3 , and hence more resource intensive. The correct behavior in this case is to allocate more resources to W_4 . Figures 14 and 15 show for DB2 and PostgreSQL, respectively, the CPU allocated by our design advisor to W_4 for different k and the performance

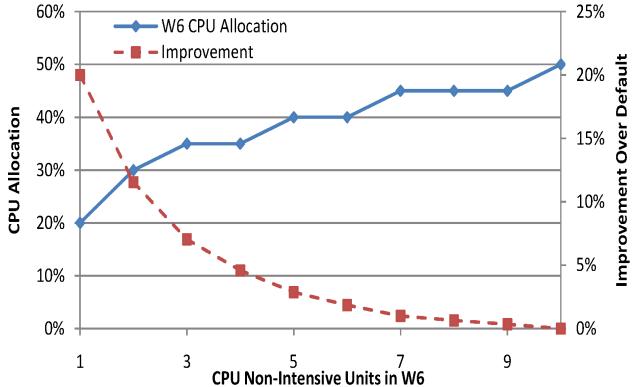


Figure 16: Varying workload size but not resource intensity (DB2).

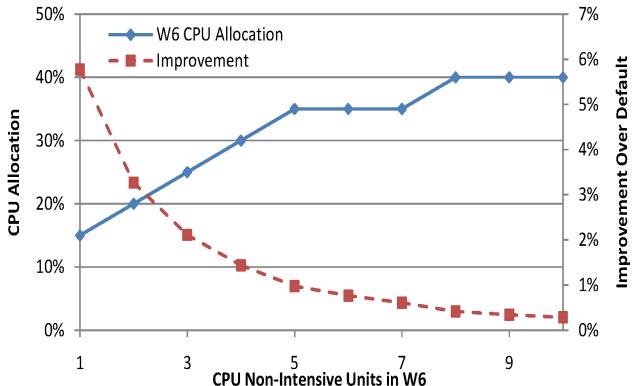


Figure 17: Varying workload size but not resource intensity (PostgreSQL).

improvement due to this allocation. Initially, when $k = 1$, both workloads are the same so they both get 50% of the resources. However, as k increases and W_4 becomes more resource intensive, our search algorithm is able to detect that and allocate more resources to this workload, resulting in an overall performance improvement. The performance improvements in this figure are greater than those in the previous experiment since there is more opportunity due to the larger difference in the resource demands of the two workloads.

Our next experiment demonstrates that simply relying on the relative sizes of the workloads to make resource allocation decisions can result in poor decisions. For this experiment, we use one workload consisting of 1 C unit (i.e., $W_5 = 1C$) and one workload consisting of $k I$ units for $k = 1$ to 10 (i.e., $W_6 = kI$). Here the goal is to illustrate that even though W_6 may have a longer running time, the fact that it is not CPU intensive should lead our algorithm to conclude that giving it more CPU will not reduce the overall execution time. Therefore, the correct decision would be to keep more CPU with W_5 even as W_6 grows. Figures 16 and 17 show for DB2 and PostgreSQL, respectively, that our search algorithm does indeed give a lot less CPU to W_6 than is warranted by its length. W_6 has to be several times as long as W_5 to get the same CPU allocation.

It is clear from these experiments that our virtualization design advisor behaves as expected, which validates our op-

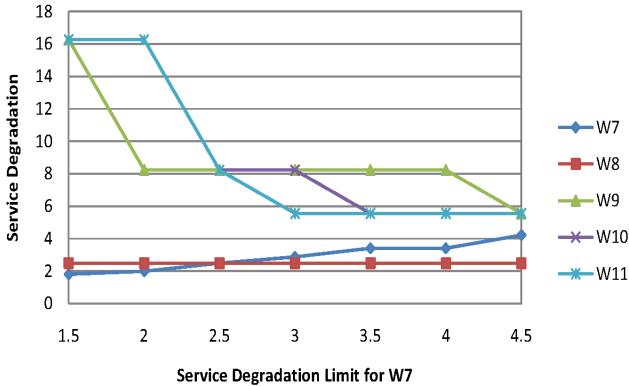


Figure 18: Effect of L_i .

timizer calibration process and our search algorithm. It is also clear that the advisor is equally effective for both DB2 and PostgreSQL, although the magnitudes of the improvements are higher for DB2.

6.4 Supporting QoS Metrics

In this section, we demonstrate the ability of our virtualization design advisor to make recommendations that are constrained in accordance with user defined QoS parameters (the degradation limit, L_i , and the benefit gain factor, G_i). For this experiment we use five identical workloads, W_7 – W_{11} , each consisting of 1 unit of the C workload used in Section 6.3. The optimal allocation decision in this case is to split the resources equally between the workloads, but we set service degradation limits for two of the workloads to influence this decision. We vary the service degradation limit of W_7 , L_7 , from 1 to 4, and we give W_8 a fixed degradation limit $L_8 = 2.5$.

Figure 18 shows the service degradation of all workloads for different values of L_7 . We can see that our virtualization design advisor is able to meet the constraints specified by L_7 and L_8 and limit the degradation that W_7 and W_8 suffer. This comes at the cost of a higher degradation for the other workloads, but that is expected since the L_i parameters specify goals that are specific to particular workloads.

We also verified the ability of our advisor to increase the CPU allocation to workloads whose G_i is greater than 1. We omit the details due to lack of space.

6.5 Random Workloads

The experiments in the previous sections are fairly “controlled” in the sense that we know what to expect from the design advisor. In this section, we demonstrate the effectiveness of our advisor on random workloads for which we do not have prior expectations about what the final configuration should be. Our goal is to show that for these cases, the advisor will recommend resource allocations that are better than the default allocation.

Each experiment in this section uses 10 workloads. We run each workload in a separate virtual machine, and we vary the number of concurrently running workloads from 2 to 10. For each set of concurrent workloads, we run our design advisor and determine the CPU allocation to each virtual machine and the performance improvement over the default allocation of $1/N$ CPU share for each workload.

We present results for two random workload experiments.

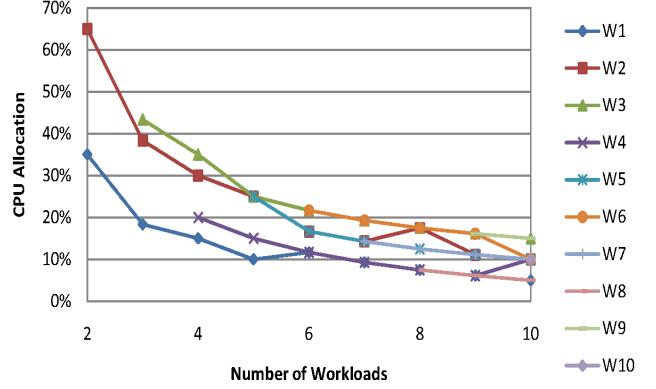


Figure 19: CPU allocation for N workloads on TPC-H database.

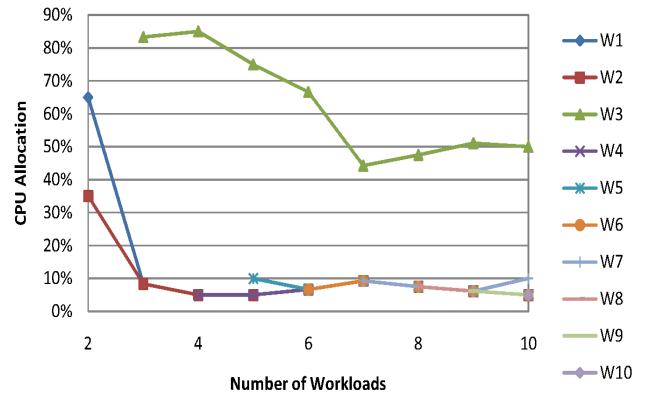


Figure 20: CPU allocation for N OLTP + TPC-H workloads.

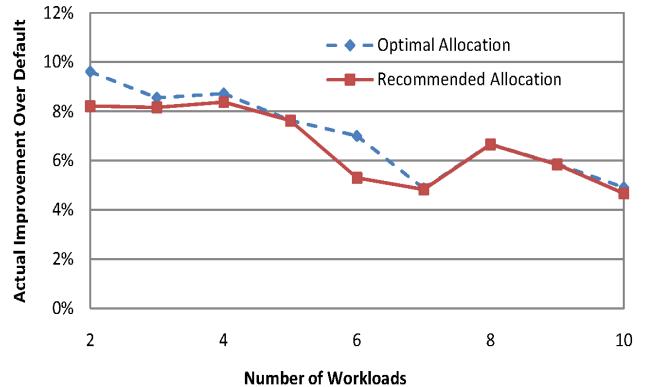


Figure 21: Performance improvement for N workloads on TPC-H database.

The first experiment uses queries on a TPC-H database with scale factor 10 stored in PostgreSQL. Using a database with scale factor 10 allows us to test our design advisor with long-running resource-intensive queries. For this experiment, each of the 10 workloads consists of a random mix of between 10 and 20 workload units. A workload unit can be either 1 copy of TPC-H query Q_{17} or 66 copies of a modified version of TPC-H Q_{18} . We added a WHERE predicate to the sub-query that is part of the original Q_{18} so that the query touches less data, and therefore spends less time waiting for I/O. The number of copies of the modified Q_{18} in a workload unit is chosen so that the two workload units have the same completion time when running with 100% of the available CPU.

The second random workload experiments uses 10 workloads running on DB2 in different virtual machines. Of these workloads, 5 are OLTP workloads that touch $x = 200$ to $x = 6000$ rows of the table in the OLTP database (W2, W4, W6, W8, and W10). The other 5 workloads consist of up to 40 randomly chosen TPC-H queries.

Figures 19 and 20 show, for both of these experiments, the changes in CPU allocation to the different workloads as we introduce new workloads to the mix. It can be seen that our virtualization design advisor is identifying the nature of new workloads as they are introduced and is adjusting the resource allocations accordingly. The slopes of the different CPU allocation lines are not constant. It can also be seen that the advisor maintains the relative order of the workloads' CPU allocations even as new workloads are introduced. The fact that some workload is more resource intensive than another does not change due to the introduction of more workloads.

Figure 21 shows the actual performance improvement under different resource allocations for the experiment on the scale factor 10 TPC-H database. The figure shows the performance improvement under the resource allocation recommended by the virtualization design advisor, and under the optimal resource allocation obtained by exhaustively enumerating all feasible allocations and measuring performance in each one. The figure shows that our virtualization design advisor, using a properly calibrated query optimizer and a well-tuned database, can achieve near-optimal resource allocations.

6.6 Online Refinement

In some cases, the query optimizer cost model is inaccurate so our resource allocation decisions are suboptimal and the actual performance improvement we obtain is significantly less than the estimated improvement. Most work on automatic physical database design ignores optimizer errors even if they result in suboptimal decisions. One of the unique features of our work is that we try to correct for optimizer errors through our online refinement process. In this section, we illustrate the effectiveness of this process using the OLTP + TPC-H workloads from the previous section. Since we are only allocating CPU to the different virtual machines, and since CPU is a resource for which a linear cost model is typically accurate, we use the basic online refinement approach that is described in Section 5.1.

We expect the query optimizer to be less accurate in modeling OLTP workloads than DSS workloads such as TPC-H. The optimizer cost model does not capture contention or update costs, which are significant factors in OLTP workloads.

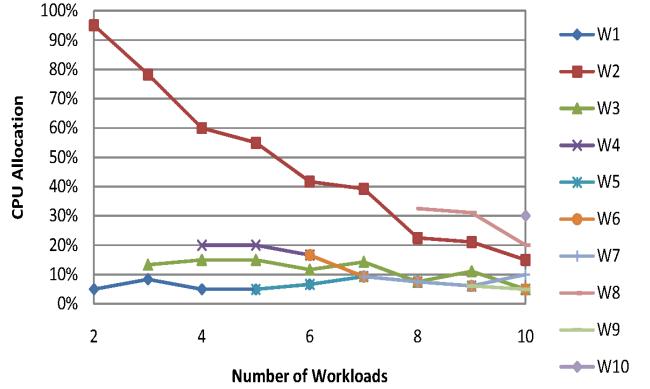


Figure 22: CPU allocation for N OLTP + TPC-H workloads after online refinement.

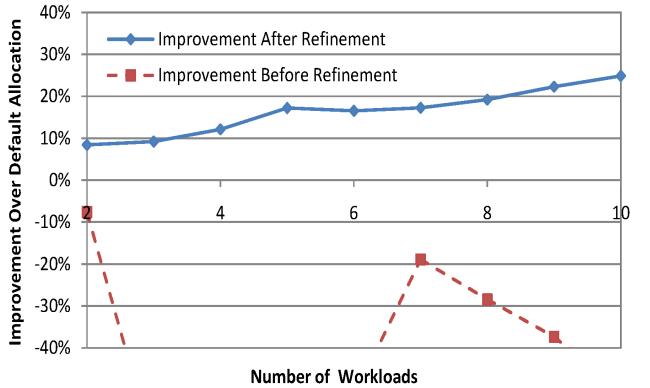


Figure 23: Performance improvement for N OLTP + TPC-H workloads before and after online refinement.

Thus, for our OLTP workload, the optimizer tends to underestimate the CPU requirements. The OLTP workload is indeed less CPU intensive than the workloads from TPC-H since I/O is a much higher fraction of its work, but the query optimizer sees it as much less CPU intensive than it really is. It, therefore, leads the advisor to allocate a large portion of the CPU to the workloads from TPC-H. Implementing the CPU allocations recommended by the advisor results in actual performance improvements that are shown in Figure 23. These recommendations are clearly inaccurate. However, if we run our online refinement process on the different sets of workloads, the process converges in at most two iterations and gives the CPU allocations shown in Figure 22. We have verified that these CPU allocations are the same as the optimal allocations obtained by performing an exhaustive search that finds the allocation with the lowest actual completion time. In these CPU allocations, the workloads from TPC-H are getting less CPU than before, even though they are longer and more resource intensive. The CPU taken from these workloads is given to the OLTP workloads and provides them with an adequate level of CPU. The resulting actual performance improvements are much better than the improvements without online refinement, and are also shown in Figure 23. Thus, we are able to show that our advisor can provide effective recommendations for different kinds of workloads, giving us easy performance gains of up to 25%.

7. CONCLUSIONS

In this paper, we considered the problem of automatically configuring multiple virtual machines that are all running database systems and sharing a pool of physical resources. Our approach to solving this problem is implemented as a virtualization design advisor that takes information about the different database workloads and uses this information to determine how to split the available physical computing resources among the virtual machines. The advisor relies on the cost models of the database system query optimizers to enable it to predict workload performance under different resource allocations. We described how to calibrate and extend these cost models so that they are used for this purpose. We also presented an approach that uses actual performance measurements to refine the cost models used for recommendation. This provides a means of correcting cost model inaccuracies. We conducted an extensive empirical evaluation of the virtualization design advisor, demonstrating its accuracy and effectiveness.

8. REFERENCES

- [1] R. Agrawal, S. Chaudhuri, A. Das, and V. R. Narasayya. Automating layout of relational databases. In *Proc. Int. Conf. on Data Engineering (ICDE)*, 2003.
- [2] P. T. Barham, B. Dragovic, K. Fraser, S. Hand, T. L. Harris, A. Ho, R. Neugebauer, I. Pratt, and A. Warfield. Xen and the art of virtualization. In *Proc. ACM Symposium on Operating Systems Principles (SOSP)*, 2003.
- [3] M. Bennani and D. A. Menasce. Resource allocation for autonomic data centers using analytic performance models. In *Proc. IEEE Int. Conf. on Autonomic Computing (ICAC)*, 2005.
- [4] M. J. Carey, R. Jauhari, and M. Livny. Priority in DBMS resource scheduling. In *Proc. Int. Conf. on Very Large Data Bases (VLDB)*, 1989.
- [5] D. L. Davison and G. Graefe. Dynamic resource brokering for multi-user query execution. In *Proc. ACM SIGMOD Int. Conf. on Management of Data*, 1995.
- [6] K. Dias, M. Ramacher, U. Shaft, V. Venkataramani, and G. Wood. Automatic performance diagnosis and tuning in Oracle. In *Proc. Conf. on Innovative Data Systems Research (CIDR)*, 2005.
- [7] M. N. Garofalakis and Y. E. Ioannidis. Multi-dimensional resource scheduling for parallel queries. In *Proc. ACM SIGMOD Int. Conf. on Management of Data*, 1996.
- [8] A. Karve, T. Kimbrel, G. Pacifici, M. Spreitzer, M. Steinder, M. Sviridenko, and A. Tantawi. Dynamic placement for clustered web applications. In *Proc. Int. Conf. on WWW*, 2006.
- [9] G. Khanna, K. Beaty, G. Kar, and A. Kochut. Application performance management in virtualized server environments. In *Proc. IEEE/IFIP Network Operations and Management Symp. (NOMS)*, 2006.
- [10] P. Martin, H.-Y. Li, M. Zheng, K. Romanufa, and W. Powley. Dynamic reconfiguration algorithm: Dynamically tuning multiple buffer pools. In *Proc. Int. Conf. Database and Expert Systems Applications (DEXA)*, 2000.
- [11] M. Mehta and D. J. DeWitt. Dynamic memory allocation for multiple-query workloads. In *Proc. Int. Conf. on Very Large Data Bases (VLDB)*, 1993.
- [12] D. Narayanan, E. Thereska, and A. Ailamaki. Continuous resource monitoring for self-predicting DBMS. In *Proc. IEEE Int. Symp. on Modeling, Analysis, and Simulation of Computer and Telecommunication Systems (MASCOTS)*, 2005.
- [13] OSDL Database Test Suite 3. <http://sourceforge.net/projects/osdldbt>.
- [14] M. Rosenblum and T. Garfinkel. Virtual machine monitors: Current technology and future trends. *IEEE Computer*, 38(5), 2005.
- [15] P. Ruth, J. Rhee, D. Xu, R. Kennell, and S. Goasguen. Autonomic live adaptation of virtual computational environments in a multi-domain infrastructure. In *Proc. IEEE Int. Conf. on Autonomic Computing (ICAC)*, 2006.
- [16] P. Shivam, A. Demberel, P. Gunda, D. E. Irwin, L. E. Grit, A. R. Yumerefendi, S. Babu, and J. S. Chase. Automated and on-demand provisioning of virtual machines for database applications. In *Proc. ACM SIGMOD Int. Conf. on Management of Data*, 2007. Demonstration.
- [17] J. E. Smith and R. Nair. The architecture of virtual machines. *IEEE Computer*, 38(5), 2005.
- [18] A. A. Soror, A. Aboulnaga, and K. Salem. Database virtualization: A new frontier for database tuning and physical design. In *Proc. Workshop on Self-Managing Database Systems (SMDB)*, 2007.
- [19] M. Steinder, I. Whalley, D. Carrera, and I. G. D. M. Chess. Server virtualization in autonomic management of heterogeneous workloads. In *Proc. IFIP/IEEE Int. Symp. on Integrated Network Mgmt.*, 2007.
- [20] A. J. Storm, C. Garcia-Arellano, S. Lightstone, Y. Diao, and M. Surendra. Adaptive self-tuning memory in DB2. In *Proc. Int. Conf. on Very Large Data Bases (VLDB)*, 2006.
- [21] C. Tang, M. Steinder, M. Spreitzer, and G. Pacifici. A scalable application placement controller for enterprise data centers. In *Proc. Int. Conf. on WWW*, 2007.
- [22] G. Tesauro, R. Das, W. E. Walsh, and J. O. Kephart. Utility-function-driven resource allocation in autonomic systems. In *IEEE Int. Conf. on Autonomic Computing*, 2005.
- [23] VMware. <http://www.vmware.com/>.
- [24] X. Wang, Z. Du, Y. Chen, and S. Li. Virtualization-based autonomic resource management for multi-tier web applications in shared data center. *Journal of Systems and Software*, 2008.
- [25] X. Wang, D. Lan, G. Wang, X. Fang, M. Ye, Y. Chen, and Q. Wang. Appliance-based autonomic provisioning framework for virtualized outsourcing data center. In *Proc. IEEE Int. Conf. on Autonomic Computing (ICAC)*, 2007.
- [26] G. Weikum, A. Mönkeberg, C. Hasse, and P. Zabback. Self-tuning database technology and information services: from wishful thinking to viable engineering. In *Proc. Int. Conf. on Very Large Data Bases (VLDB)*, 2002.